

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	10400873
<b>Application Number:</b>	10799205
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7411
<b>Title of Invention:</b>	Block trading system and method providing price improvement to aggressive orders
<b>First Named Inventor/Applicant Name:</b>	Henri Waelbroeck
<b>Customer Number:</b>	32137
<b>Filer:</b>	Steven D. Underwood/Ruth Montalvo
<b>Filer Authorized By:</b>	Steven D. Underwood
<b>Attorney Docket Number:</b>	28655.001
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		28655-001-IDS- SB08a-6-28-2011.pdf	117097 <small>5d7d9a017c098783990816d6e0511577c7976</small>	yes	5

Multipart Description/PDF files in .zip description			
Document Description		Start	End
Transmittal Letter		1	4
Information Disclosure Statement (IDS) Form (SB08)		5	5

**Warnings:**

**Information:**

2	Foreign Reference	28655-001- JPref-2002049759withEnglishTr ansl.pdf	2062853  4b82d8e59413bc05f0b41448044726c3 a9817	no	55
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**Warnings:**

**Information:**

3	Non Patent Literature	28655-001- JPoa-2007502909dated3-22-20 11.pdf	69378  c04c0b4710360c91b8e182d812f5ed7ec0 c0da	no	2
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**Warnings:**

**Information:**

Total Files Size (in bytes):		2249328
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.